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BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

2012 DEC 10 P 12:15

GARY PIERCE, Chairman
BOB STUMP
SANDRA D. KENNEDY
PAUL NEWMAN
BRENDA BURNS

ARIZONA CORPORATION COMMISSION
DOCKET CONTROL

In the matter of:

MARK DANA HUGHES, CRD# 1843511,
and DOLLY A. HUGHES, husband and
wife,

and

LEGACY FINANCIAL ADVISORS,
L.L.C., CRD# 114029, an Arizona limited
liability company,

Respondents.

DOCKET NO. S-20864A-12-0439

**NOTICE OF ERRATA REGARDING THE
DIVISION'S MOTION FOR STATUS CHECK**

The Division hereby files a Notice of Errata Regarding the Division's Motion for Status Check. The Division filed its Motion for Status Check on December 10, 2012. The signature line for the service page of the aforementioned motion was inadvertently left blank. Attached as Exhibit 1 is the Division's Motion for Status Check with a signed service page.

RESPECTFULLY SUBMITTED this 10th day of December, 2012.

ARIZONA CORPORATION COMMISSION

By

Aikaterine Vervilos
Registration & Compliance Enforcement
Attorney for the Securities Division of the
Arizona Corporation Commission

Arizona Corporation Commission

DOCKETED

DEC 10 2012

DOCKETED BY

Exhibit 1

BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

GARY PIERCE, Chairman
BOB STUMP
SANDRA D. KENNEDY
PAUL NEWMAN
BRENDA BURNS

In the matter of:

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and DOLLY A. HUGHES, husband and
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MOTION FOR STATUS CHECK

A pre-hearing conference was held on November 20, 2012. At the pre-hearing conference, the parties informed Administrative Law Judge ("ALJ") Stern that the parties were attempting to resolve the matter and requested time to pursue settlement negotiations. The parties arranged to discuss settlement on a future date.

On December 5, 2012, the day the parties were to discuss settlement, Respondents Mark Dana Hughes and Dolly A. Hughes (collectively "Respondents") filed for bankruptcy in Tucson, Arizona (Case No. 4:12-bk-25942). Respondent Legacy Financial Advisors, L.L.C was not part of the bankruptcy filing.

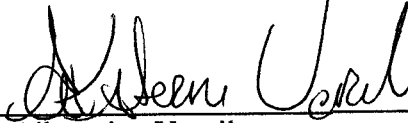
Since the bankruptcy filing, the Division made an attempt to continue settlement negotiations with the Respondents as the Division is not subject to the automatic stay (*see* 11 U.S.C. § 362(b)(4)). However, there has not been any further contact from the Respondents.

Based on the forgoing, the Division requests ALJ Stern set a date for a status check to discuss setting hearing dates for this matter.

1 RESPECTFULLY SUBMITTED this 10th day of December, 2012.

2 ARIZONA CORPORATION COMMISSION

3
4 By



Aikaterine Vervilos
Registration & Compliance Enforcement
Attorney for the Securities Division of the
Arizona Corporation Commission

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6
7 ORIGINAL AND EIGHT (8) COPIES of the foregoing
8 filed this 10th day of December, 2012 with:

9 Docket Control
10 Arizona Corporation Commission
1200 W. Washington St.
Phoenix, AZ 85007

11 COPY of the foregoing hand-delivered
12 this 10th day of December, 2012 to:

13 Mr. Marc E. Stern
14 Administrative Law Judge
15 Arizona Corporation Commission/Hearing Division
1200 W. Washington St.
Phoenix, AZ 85007

16 COPY of the foregoing mailed
17 this 10th day of December, 2012 to:

18 Mark Dana Hughes
19 4549 North Camino Campero
Tucson, AZ 85750
Respondent

20 Dolly A. Hughes
21 4549 North Camino Campero
Tucson, AZ 85750
Respondent

22 Legacy Financial Advisors, L.L.C.
23 4549 North Camino Campero
Tucson, AZ 85750
Respondent

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